Collection Types

Sequences, Arrays, Sets, and Bags

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1 / 50

Outline

- Membership Collections
 - Sets
 - Proving with Sets
 - Sets in Type Theory
 - Choose
 - Finite Sets
 - Bags
- Object Collections
 - Sequence
 - Bounded Array
 - Array Operations
 - Finite Sequences

¹heavily based on a previous talk by Rick Butler

Membership Collections

These "membership" collections are available in PVS

- Sets [T -> bool]
- Finite Sets [(is_finite) -> bool]
- Bags (aka multisets) [T -> nat]
- Finite Bags [(is_finite) -> nat]

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3 / 50

Sets in PVS

• A set is just a predicate (i.e., a function into bool): letters: TYPE = {a,b,c,d,e,f}

```
S: set[letters] = ...
```

• For example, if s represents:

```
S(a) --> TRUE S(b) --> TRUE
S(c) --> FALSE S(d) --> TRUE
S(e) --> TRUE S(f) --> FALSE
```

Then, it can be specified in PVS as:

```
S: set[letters] = (LAMBDA (x: letters):

(x=a) OR (x=b) OR (x=d) OR (x=e))
```

Alternatively, one could write:

```
S: set[letters] = \{ x: letters \mid (x=a) OR (x=b) OR (x=d) OR (x=e) \}
```

• But, there is no PVS set constructor:

```
S:set[letters] = \{ a, b, d, e \}
```

However, this form can be used for type construction (see above)

The Sets Theory in Prelude

The sets[T: TYPE] theory is defined in the prelude:

```
sets [T: TYPE]: THEORY
BEGIN
   set: TYPE = [T -> bool]

x, y: VAR T
   a, b, c: VAR set
   p: VAR PRED[T]

member(x, a): bool = a(x)

empty?(a): bool = (FORALL x: NOT member(x, a))
emptyset: set = {x | false}
nonempty?(a): bool = NOT empty?(a)
fullset: set = {x | true}

subset?(a, b): bool = (FORALL x: member(x, a) => member(x, b))
strict_subset?(a, b): bool = subset?(a, b) & a /= b
```

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5 / 50

The Sets Theory in Prelude (cont'd)

PVS Name	meaning
union(a,b)	everything in a or b
<pre>intersection(a,b)</pre>	anything in both a and b
disjoint?(a,b)	do a and b share any elements
<pre>difference(a,b)</pre>	all members of a that are not in b
singleton(x)	constructs set with element x
add(x,a)	add element x to a
remove(x,a)	remove element x from a
choose(a)	choose an arbitrary element of a
rest(a)	the set a without choose(a)

Some important lemmas about sets

Useful lemmas about sets in the sets_lemmas theory in the prelude

```
emptyset_is_empty?: LEMMA empty?(a) IFF a = emptyset
subset_transitive : LEMMA subset?(a, b) AND subset?(b, c)
                             IMPLIES subset?(a, c)
subset_emptyset : LEMMA subset?(emptyset, a)
union_empty
                  : LEMMA union(a, emptyset) = a
union_subset1
                  : LEMMA subset?(a, union(a, b))
intersection_empty: LEMMA intersection(a, emptyset) = emptyset
distribute_intersection_union: LEMMA intersection(a, union(b, c))
                  = union(intersection(a, b), intersection(a, c))
distribute_union_intersection: LEMMA union(a, intersection(b, c))
                         = intersection(union(a, b), union(a, c))
                : LEMMA member(x, a) IMPLIES add(x, a) = a
member_add
choose_member
                : LEMMA NOT empty?(a) IMPLIES member(choose(a), a)
choose_singleton: LEMMA choose(singleton(x)) = x
```

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7 / 50

Using Set Lemmas

Using the lemma:

```
union_commutative: LEMMA union(a, b) = union(b, a)
```

Usually, one must include the parent type:

```
(lemma "union_commutative[nat])"
```

Sometimes you can get away with

```
(rewrite "union_commutative)"
but not always!
```

Set Union and Intersection

```
x \in B \cup C \equiv \text{union(B, C)(x)} = B(x) \text{ OR C(x)}

x \in B \cap C \equiv \text{intersection(B, C)(x)} = B(x) \text{ AND C(x)}
```

Thus operations on sets can be reduced to propositional formulas by set membership, i.e.,

- union(B, C) is a function
- union(B, C)(x) is a propositional formula

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9 / 50

Proving with subset?

This can get a little tedious, is there another way?

Interlude: Auto Rewriting

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11 / 50

Set Auto-rewriting

An automatic reduction of set operations can be facilitated through use of (install-rewrites :defs t)

which installs all the definitions used directly or indirectly in the original statement as auto-rewrite rules

Another form is

```
(auto-rewrite-theory "sets[T]")
```

which installs an entire theory as auto-rewrites.

• Be careful with this one. If the theory contains a commutativity result, this will cause an endless loop.

```
{-1} subset?(A!1, C!1)
    |------
{1} subset?(union(A!1, B!1), union(C!1, B!1))

Rule? (install-rewrites :defs t)
Rewriting relative to the theory: sets[real],
this simplifies to:
set_rewrite2 :

[-1] subset?(A!1, C!1)
    |------
[1] subset?(union(A!1, B!1), union(C!1, B!1))
```

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13 / 50

install-rewrites (cont'd)

```
Rule? (assert)
member rewrites member(x, A!1) to A!1(x)
member rewrites member(x, C!1) to C!1(x)
subset? rewrites subset?(A!1, C!1) to FORALL (x: real): A!1(x) => C!1(x)
member rewrites member(x, A!1) to A!1(x)
member rewrites member(x, B!1) to B!1(x)
union rewrites union(A!1, B!1)(x) to A!1(x) OR B!1(x)
member rewrites member(x, union(A!1, B!1)) to A!1(x) OR B!1(x)
member rewrites member(x, C!1) to C!1(x)
union rewrites union(C!1, B!1)(x) to C!1(x) OR B!1(x)
member rewrites member(x, union(C!1, B!1)) to C!1(x) OR B!1(x)
subset? rewrites subset?(union(A!1, B!1), union(C!1, B!1))
  to FORALL (x: real): A!1(x) OR B!1(x) \Rightarrow C!1(x) OR B!1(x)
Simplifying, rewriting, and recording with decision procedures,
this simplifies to:
set_rewrite2 :
\{-1\} FORALL (x: real): A!1(x) => C!1(x)
      FORALL (x: real): A!1(x) OR B!1(x) \Rightarrow C!1(x) OR B!1(x)
```

an easily proved formula.

How?

Set Equality

To prove that two sets are equal we must use function extensionality:

$$f = g$$
 IFF $\forall x : f(x) = g(x)$

because sets are just functions into bools (i.e., predicates)

- (decompose-equality) will do the trick
- (apply-extensionality) is a less powerful version

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15 / 50

Set Equality: Example

```
A: set[real] = \{ x: real \mid (x=1) \ OR \ (x=2) \ OR \ (x=3) \}
equality: LEMMA A = add(1,add(2,singleton(3)))
```

Set Equality: Example (cont'd)

```
Rule? (assert)
A rewrites AA(x!1)
  to (x!1 = 1) OR (x!1 = 2) OR (x!1 = 3)
singleton rewrites singleton(3)(x!1)
  to x!1 = 3
member rewrites member(x!1, singleton(3))
to x!1 = 3
add rewrites add(2, singleton(3))(x!1)
  to 2 = x!1 \text{ OR } x!1 = 3
member rewrites member(x!1, add(2, singleton(3)))
  to 2 = x!1 OR x!1 = 3
add rewrites add(1, add(2, singleton(3)))(x!1)
  to 1 = x!1 OR 2 = x!1 OR x!1 = 3
Simplifying, rewriting, and recording with decision procedures,
\{1\} (((x!1 = 1) OR (x!1 = 2) OR (x!1 = 3)) =
                 (1 = x!1 OR 2 = x!1 OR x!1 = 3))
Rule? (ground)
No change on: (ground)
```

What happened here? Any suggestions?

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17 / 50

Set Equality: Example (cont'd)

We need to convert the equality of two formulas into a propositional formula.

Big Warning

Given

```
T_100: TYPE = { n: nat | 0 <= n AND n <= 100 } 

T_125: TYPE = { n: nat | 25 <= n AND n <= 125 } 

Then 

{ t: T_100 | t = 50 } \neq { t: T_125 | t = 50 }
```

Why?

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19 / 50

Big Warning (cont'd)

Given

```
T_100: TYPE = \{ n: nat \mid 0 \le n \text{ AND } n \le 100 \}

T_125: TYPE = \{ n: nat \mid 25 \le n \text{ AND } n \le 125 \}
```

When we ask are these two sets equal

```
{ t:T_100 | t = 50 } { t: T_125 | t = 50 } We are really asking are these two functions equal?

(LAMBDA (t:T_100): t = 50) (LAMBDA (t: T_125): t = 50)
```

THE DOMAINS ARE NOT EQUAL!

- The decompose-equality strategy requires the domains to be the same
- Even though in set theory semantics they represent the same set

Thoughts About Sets in Type Theory

Type theory offers several advantages over set theory

- Avoids the classic paradoxes in an intuitive way.
- Type checking uncovers errors
- More "natural" for people used to (most) programming languages

However, there are some disadvantages:

- Sets with the same elements but different domains are different.
 - The emptyset is not unique (i.e., emptyset[T1] and emptyset[T2] are not identical)
- There are different set operations for each basic element type. In other words, card[T1] is not the same function as card[T2].

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21 / 50

Back to "Big Warning"

```
If you give PVS
```

```
T_100: TYPE = { n: nat | 0 <= n AND n <= 100 }

11: LEMMA \{t:T_100 \mid t = 50\} = \{t: nat \mid n = 50\}
```

it will recognize the domain mismatch and interpret this as

where restrict is defined in the prelude as:

```
restrict [T: TYPE, S: TYPE FROM T, R: TYPE]: THEORY
BEGIN
    f: VAR [T -> R]
    s: VAR S

    restrict(f)(s): R = f(s)
    CONVERSION restrict
END restrict
```

This CONVERSION helps here, but there are plenty of cases it doesn't.

The Moral Of the Story

MORAL: Define sets over the PARENT TYPE unless there is a very good reason not to.

```
USE
    { n: nat | P(n) AND n <= 100 }

RATHER THAN
    T_100: TYPE = { n: nat | n <= 100 }
    { t:T_100 | P(t) }</pre>
```

This will keep all the domains the same.

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23 / 50

Choose Function

- The choose function returns an arbitrary element of a nonempty set: choose(p: (nonempty?)): (p) = epsilon(p)
- An empty set will cause an unprovable TCC.
- If the set is potentially empty, one should use epsilon directly.
- epsilon produces an element in the set if one exists, and otherwise produces an arbitrary element of the type.
 - ► The parent type of the set must be nonempty.
- The function epsilon is defined as follows:

```
epsilons [T: NONEMPTY_TYPE]: THEORY
BEGIN
  p: VAR pred[T]
  x: VAR T
  epsilon(p): T
  epsilon_ax: AXIOM (EXISTS x: p(x)) => p(epsilon(p))
```

Choose Function: Additional Thoughts

- choose returns an arbitrary element, not a random element, thus if
 x = choose(a) and y = choose(a), then x always equals y
- It would have been nice if choose had been defined without a body: choose(p: (nonempty?)): (p) since all of the properties needed are implicit in the return type.
 - ► If the body were not present, choose would not expand when using (grind) Or (auto-rewrite-theory "sets[nat]")
 - Recommendation:

```
(auto-rewrite-theory "sets[nat]" :exclude "choose")
(grind :exclude "choose")
(install-rewrites :DEFS T :EXCLUDE "choose")
```

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25 / 50

Motivation For Finite Sets

We would like to have to following functions defined over sets:

- Cardinality function
- Minimum and maximum over a set
- Summation over a set

and the ability to perform set induction.

Basic Definitions

Let's define a predicate that indicates when a set is finite:

```
is_finite(S): bool = (EXISTS N, (f: [(S)->below[N]]): injective?(f))
```

- So a set is finite if there is a one-to-one function between the members of the set and a finite set of natural numbers.
- The user is free to pick any N that is convenient and not necessarily the smallest.
- injective? is defined in the PVS prelude as:

```
functions [D, R: TYPE]: THEORY
  f, g: VAR [D -> R]
  x, x1, x2: VAR D
  y: VAR R

injective?(f): bool = (FORALL x1, x2: (f(x1) = f(x2) => (x1 = x2)))

surjective?(f): bool = (FORALL y: (EXISTS x: f(x) = y))

bijective?(f): bool = injective?(f) & surjective?(f)
```

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27 / 50

The type finite_set

```
finite_set: TYPE = (is_finite) CONTAINING emptyset[T]
```

A nonempty finite set is defined as follows:

```
non_empty_finite_set: TYPE = {s: finite_set | NOT empty?(s)}
```

The declaration of a finite set variable:

```
IMPORTING finite_sets
S: VAR finite_set[T]
```

REMINDER:

Finite Set Operations

- The standard set operations are defined in the prelude theory, sets
- Because finite_set is a subtype of set, all of the operations on the set type are inherited by the finite_set type.

The set operations preserve finiteness:

```
A,B: VAR finite_sets
finite_union:
                     LEMMA is_finite(union(A,B))
finite_intersection: LEMMA is_finite(intersection(A,B))
finite_difference: LEMMA is_finite(difference(A,B))
                     LEMMA is_finite(add(x,A))
finite_add:
finite_remove:
                     LEMMA is_finite(remove(x,A))
finite_subset:
                     LEMMA subset?(S,A) IMPLIES is_finite(S)
finite_singleton:
                     LEMMA is_finite(singleton(x))
finite_empty:
                     LEMMA is_finite(emptyset[T])
finite_rest:
                     LEMMA is_finite(rest(A))
```

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29 / 50

Judgements for Finite Sets - for Reference

- The inclusion of these judgements in the library will minimize the number of TCCs that are generated.
- Without the JUDGEMENT statements, every use of the basic set operations on a finite set (e.g. add(x,s)) in a context that requires a finite set, would result in the generation of a TCC.
- What's the different between these judgements and the lemmas on the previous page?

Structure Of The Finite Sets Library

The library contains the following theories

```
part of the prelude, not library (pro-
          finite_sets
                       vides basic type and cardinality)
                       summation over a set
      finite_sets_sum
                       min and max over a set
   finite_sets_minmax
                       induction schemes
finite_sets_inductions
                       additional properties for summa-
  finite_sets_sum_real
                       tions over real-valued functions
                       special results of integer sets
      finite_sets_int
                       special results of natural num sets
      finite_sets_nat
```

The library also contains theories <code>card_def</code>, <code>finite_sets_def</code>, and <code>card_lt</code> which are not meant to be directly imported.

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31 / 50

Cardinality of a Finite Set - for Reference

- Cardinality is defined to be the smallest n for which an injection exists.
- To inhibit expansion, the card function is defined using a return type that is a singleton.
- The definition can be retrieved using a typepred command (e.g. typepred "card(S!1)") or the card_bij theorem:

Lemmas of card Over the Set Operations

```
card_union |A \cup B| = |A| + |B| - |A \cap B|
add one if element is not in set remove one if element is in set card_subset A \subseteq B implies |A| \le |B|
card_emptyset equals zero card_singleton equals one
```

Most users of the library will only need to use these lemmas and not the more fundamental definition of card.

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33 / 50

Minimum and Maximum of a Set

The library² provides functions that return the minimum and maximum elements of a set

```
SS: VAR non_empty_finite_set[T]
min(SS): {a:T | SS(a) AND (FORALL (x:T): SS(x) IMPLIES a <= x)}
max(SS): {a:T | SS(a) AND (FORALL (x:T): SS(x) IMPLIES x <= a)}</pre>
```

 These functions are not constructively defined, but are merely constrained to return a value from a specified set.

The following useful properties of min and max over the set union operator are also provided:

 $^{^2}$ nasalib/finite_sets/finite_sets_minmax.pvs

Summation Over a Set

The library³ provides summation

```
sum(S,f) : RECURSIVE R =
   IF (empty?(S)) THEN zero
   ELSE f(choose(S)) + sum(rest(S),f)
   ENDIF MEASURE (LAMBDA S,f: card(S))
```

Many useful properties of sum are available, including:

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35 / 50

Induction Schemes

The library⁴ provides several induction schemes over sets:

```
inducts over cardinality of the set
       cardinal_induction
                             p(emptyset) and p(S) => p(add(e,S))
      finite_set_induction
                             p(emptyset), not S(e), and p(S) =>
   finite_set_ind_modified
                             p(add(e,S))
                             p(emptyset) and rest(S) => p(S)
 finite_set_induction_rest
                                           and p(S1) AND p(S2) =>
                             p(emptyset)
finite_set_induction_union
                             union(S1,S2)
  finite_set_induction_gen
                             (FORALL S2: |S2| < |S| \Rightarrow p(S2)) =>
                             p(S)
                             inducts over cardinality of the set
  nonempty_card_induction
                             not S(e), and p(S) \Rightarrow p(add(e,S))
nonempty_finite_set_induct
```

Use these by, e.g., (induct :name "finite_set_induction")

 $^{^3}$ nasalib/finite_sets/finite_sets_sum.pvs

 $^{^4}$ nasalib/finite_sets/finite_sets_inductions.pvs

Bags (aka Multisets)⁵

- Sets capture information about membership
- Bags capture information about quantity

```
bag: TYPE = [T -> nat]
```

- Located in the structures directory of the library
- Convert a bag to a set: bag_to_set

Some operations on bags:

```
emptybag : bag = (LAMBDA t: 0)

insert(x,b) : bag = (LAMBDA t: IF x = t THEN b(t) + 1 ELSE b(t) ENDIF)
purge(x,b) : bag = (LAMBDA t: IF x = t THEN 0 ELSE b(t) ENDIF)
extract(x,b) : bag = (LAMBDA t: IF x = t THEN b(t) ELSE 0 ENDIF)

plus(a,b) : bag = (LAMBDA t: a(t) + b(t))
union(a,b) : bag = (LAMBDA t: max(a(t),b(t)))
intersection(a,b): bag = (LAMBDA t: min(a(t),b(t)))
```

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37 / 50

Object Collections: Four Ways in PVS

- Sequence [nat -> T]
- bounded array [below(N) -> T]
- finite sequence

```
[# length: nat, seq: [below[length] -> T] #]
```

list datatype

```
list [T: TYPE]: DATATYPE
  BEGIN
    null: null?
    cons (car: T, cdr:list):cons?
  END list
```

lists will be covered in the abstract data type lecture

⁵Defined in NASA's structures library

Sequence

PVS provides a sequence (i.e., unbounded array) as follows:

```
T: TYPE
A1: FUNCTION [nat -> T]
A2: ARRAY [nat -> T]
A3: [nat -> T]
A4: sequence[T]
```

all of which are the same.

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39 / 50

Prelude sequences Theory

function	meaning
nth(seq, n)	<i>nth</i> element of the sequence
<pre>suffix(seq, n)</pre>	sequence starting after the n^{th} element
first(seq)	first element
rest(seq)	sequence excluding the first element
add(x, seq)	add element x to the sequence
delete(n, seq)	delete the n^{th} element
<pre>insert(x, n, seq)</pre>	insert x into <i>seq</i> at <i>n</i>

In addition to these definitions are certain results such as:

add_first_rest: LEMMA add(first(seq), rest(seq)) = seq

Bounded Array⁶

Sometimes it is useful to have an array that is indexed by integer subrange as in a programming language:

```
below_arrays[N: nat, T: TYPE]: THEORY
BEGIN
  below_array: TYPE = [below(N) -> T]

A: VAR below_array
  x: VAR T
  ii: VAR below(N)

in?(x,A): bool = (EXISTS ii: x = A(ii))
END below_arrays
```

Note that below is defined in PVS prelude

```
below(i: nat): TYPE = \{s: nat \mid s < i\}
```

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41 / 50

Definition of Array Maximum - for Reference

```
imax_rec<sup>7</sup> returns the index of the maximum value
imax_rec(A,ii,jj): RECURSIVE below(N) =
    IF jj <= ii THEN ii
    ELSE
        LET IX = imax_rec(A,jj-1) IN
        IF A(IX) <= A(jj) THEN jj ELSE IX ENDIF
    ENDIF MEASURE (LAMBDA A,ii,jj: jj)</pre>
```

This generates the following TCCs:

```
\begin{split} \text{imax\_rec\_TCC1: OBLIGATION (FORALL (jj): jj = 0 IMPLIES 0 < N);} \\ \text{imax\_rec\_TCC2: OBLIGATION (FORALL (jj): NOT jj = 0} \\ \text{IMPLIES jj - 1 >= 0 AND jj - 1 < N);} \\ \text{imax\_rec\_TCC3: OBLIGATION (FORALL (A, jj): NOT jj = 0} \\ \text{IMPLIES jj - 1 < jj);} \end{split}
```

all of which are discharged with M-x tcp.

⁶Defined in NASA's structures library

⁷nasalib/finite_sets/finite_sets_inductions.pvs

Properties of imax_rec - for Reference

```
imax_rec_lem: LEMMA j <= jj IMPLIES A(j) <= A(imax_rec(A,jj))

Proof:
(""
    (induct "jj" 1)
    (("1" (flatten) (skosimp*) (expand "imax_rec") (assert))
        ("2" (skosimp*) (expand "imax_rec" +) (inst?) (lift-if) (ground))))

imax_rec_rng: LEMMA 0 <= imax_rec(A,jj) AND imax_rec(A,jj) <= jj

Proof:
(""
    (induct "jj" 1)
    (("1" (flatten) (skosimp*) (expand "imax_rec") (propax))
    ("2" (skosimp*) (expand "imax_rec" +) (inst?) (lift-if) (ground))))</pre>
```

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43 / 50

Definition of max(A) and Properties

```
imax(A): below(N) = imax_rec(A,N-1)

max(A): real = A(imax(A))

max_lem : LEMMA A(i) <= max(A)

imax_lem: LEMMA A(imax(A)) = max(A)

max_def : LEMMA A(i) <= max(A) AND in?(max(A),A)</pre>
```

Array Concatenation 8

- The function o overloads a function already defined in the prelude.
- The return type of o depends upon the theory parameters n and m.
- o is an operator
 - ► Either o(A,B) or A o B are valid

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45 / 50

Array Concatenation Properties

```
a_n: VAR below_array[n,T]
a_m: VAR below_array[m,T]
nm : VAR below(n+m)

concat_array_bot0: THEOREM m = 0 IMPLIES a_n o a_m = a_n
concat_array_top0: THEOREM n = 0 IMPLIES a_n o a_m = a_m

i: VAR below(n)
j: VAR {i: int | i >= n AND i < n+m}

concat_array_bot : THEOREM (a_n o a_m)(i) = a_n(i)
concat_array_top : THEOREM (a_n o a_m)(j) = a_m(j-n)</pre>
```

 $^{^8}$ nasalib/structures/concat_arrays.pvs

Array Extraction

```
Given an array A = [a_0, a_1, a_2, a_3, ..., a_(N-1)], we want the elements
A^{(m,n)} = [a_m, ..., a_n]
caret_arrays [N:nat, T: TYPE]: THEORY
BEGIN
  IMPORTING below_arrays, empty_array_def
  A: VAR below_array[N,T]
  m, n: VAR nat
  p: VAR [nat, below[N]]
  empty_array: below_array[0,T]
  ^(A, p): below_array[LET (m, n) = p IN
                          IF m > n THEN O
                          ELSE n - m + 1 ENDIF,T] =
      LET (m, n) = p IN
        IF m \le n THEN (LAMBDA (x: below[n-m+1]): A(x + m))
        ELSE empty_array
        ENDIF
```

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Collection Types

PVS Class, 2012

47 / 50

Properties of Array Extraction

- (A^(i,i)) extracts an array with a single element
- (A^(i,i))(0) returns the single element

Prelude Theory Finite Sequences

```
finite_sequences [T: TYPE]: THEORY
BEGIN
  finite_sequence: TYPE = [# length:nat, seq:[below[length] -> T] #]
  finseq: TYPE = finite_sequence

fs, fs1, fs2, fs3: VAR finseq
  m, n: VAR nat

empty_seq: finseq =
    (# length := 0,
        seq := (LAMBDA (x: below[0]): epsilon! (t:T): true) #)

finseq_appl(fs): [below[length(fs)] -> T] = fs'seq;
```

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49 / 50

Finite Sequences Operations

Similar to bounded arrays, concatenation and extraction are defined

```
Concatenation operator:
```

Extraction operator: